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Bib Data Sheet

CONFIRMATION NO. 7102

| | | | | |
|---|---|-------------------------------|---|---|
| SERIAL NUMBER 09/842,297 | FILING DATE 04/25/2001 RULE | CLASS 705 | GROUP ART UNIT 2161 | ATTORNEY DOCKET NO. 020431.0772 |
| APPLICANTS Jose A. Sobrado, Irving, TX; Shridhar Mittal, Plano, TX; Tareq S. Burghli, Lombard, IL; Harsha Chaturvedi, Coppell, TX; <div style="position: absolute; right: 0; top: 0; font-size: 2em;">#3</div> | | | | |
| ** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/238,307 10/05/2000 | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/20/2001 | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials | | STATE OR COUNTRY TX | SHEETS DRAWING 7 | TOTAL CLAIMS 55 |
| INDEPENDENT CLAIMS 7 | | | | |
| ADDRESS Christopher W. Kennerly, Esq. Baker Botts L.L.P. 6th Floor 2001 Ross Avenue Dallas, TX 75201-2980 | | | | |
| TITLE Guided buying decision support in an electronic marketplace environment | | | | |
| FILING FEE RECEIVED 1660 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/842,297 | 04/25/2001 | 2161 | 1660 | 020431.0772 | 7 | 55 | 7 |

CONFIRMATION NO. 7102

REPLACEMENT FILING RECEIPT



OC000000006558497

Christopher W. Kennerly, Esq.
Baker Botts L.L.P.
6th Floor
2001 Ross Avenue
Dallas, TX 75201-2980

Date Mailed: 09/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jose A. Sobrado, Irving, TX;
Shridhar Mittal, Plano, TX;
TAREQ Tares S. Burghli, Lombard, IL;
Harsha Chaturvedi, Coppell, TX;

Assignment For Published Patent Application

i2 Technologies, Inc.; i2 TECHNOLOGIES US, INC.

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/238,307 10/05/2000

Foreign Applications

If Required, Foreign Filing License Granted 06/20/2001

Projected Publication Date: 04/11/2002 REQUEST FOR NON-PUBLICATION ACKNOWLEDGED

Non-Publication Request: No YES

Early Publication Request: No

Title

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Technology Center 2100

Guided buying decision support in an electronic marketplace environment

Preliminary Class

705

Data entry by : BURSE, JANICE

Team : OIPE

Date: 09/14/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

ATTORNEY DOCKET NUMBER
020431.0772

ATENT APPLICATION
09/842,297

1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt

RECEIVED
NOV 30 2001
Technology Center 2100

In re Application of:

José A. Sobrado, et al.

Serial No.:

09/842,297

Filing Date:

January 10, 2001

Title:

GUIDED BUYING DECISION SUPPORT IN AN
ELECTRONIC MARKETPLACE ENVIRONMENT

Office of Initial Patent Examination's Customer
Service Center

Assistant Commissioner of Patents

Washington, D.C. 20231

I hereby certify that this
correspondence is being deposited
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Examination's Customer Service
Center, Assistant Commissioner of
Patents, Washington, D.C. 20231, on
the date shown below.

J. Sufler
Name

9/26/01
Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

An inventor's name is misspelled on the Official Filing Receipt. Please delete "Tares S. Burghli" and insert --TAREQ S. BURGHLI--. A copy of the Supplemental Declaration that was filed with the U.S. Patent and Trademark Office on August 21, 2001 is included for review.

The Assignment for Published Patent Application should be changed from "i2 Technologies, Inc." to --i2 TECHNOLOGIES US, INC.--. A copy of the Assignment

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ATTORNEY DOCKET NUMBER
020431.0772

ATENT APPLICATION
09/842,297

2

document which was filed with the U.S. Patent and Trademark Office on July 27, 2001 is enclosed.

Pursuant to 37 C.F.R. § 1.213 (a), at the time the application was filed Applicants requested that this application not be published. The Official Filing Receipt does not reflect this request. For the Non-Publication Request, please delete "No", and insert --YES--. Please delete the Projected Publication Date of "04/11/2002" and insert --REQUEST FOR NON-PUBLICATION ACKNOWLEDGED--. A copy of the transmittal form which was filed with the application is included and reflects Applicants preference for non-publication. A corrected filing receipt is respectfully requested.

The Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 26 day of September, 2001.

BAKER BOTTS L.L.P.

Attorneys for Applicants



Christopher W. Kennerly

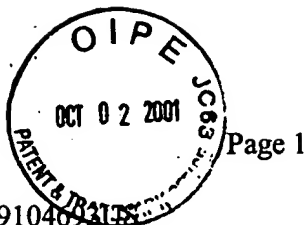
Reg. No. 40,675

2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214)953-6812
(sds)

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Attorney's Docket No.:
020431.0772

PATENT



Express Mail No.: EL759104693178

PATENT APPLICATION

Attorney Docket No.: 020431.0772

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 C.F.R. § 1.53(b)

Box: Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

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NOV 30 2001
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Sir:

Inventor or Application Identifier:

José A. Sobrado, et al.

Transmitted herewith for filing is the patent application entitled:

GUIDED BUYING DECISION SUPPORT IN AN ELECTRONIC
MARKETPLACE ENVIRONMENT

X Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- X Specification, Claims and Abstract (36 Total Pages)
- X Drawing(s) (7 Total Sheet(s) of X Formal Informal)
- X Combined Declaration and Power of Attorney (6 Total Pages)
 - X Newly executed (original or copy)
- X An Assignment of the invention to i2 Technologies, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).
- X Certificate of Mailing (1 page)
- X Return Receipt Postcard
- X Bibliographic Datasheet (2 pages)
- X This application claims the benefit under 35 U.S.C. § 119(e) of U.S. Provisional Application Serial No. 60/238,307 filed October 5, 2000.

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Attorney's Docket No.:
020431.0772

PATENT

Page 2

Applicant is:

☒ Large Entity
☐ Small Entity

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The accompanying application is:

☒ Original
☐ Continuation ☐ Divisional ☐ Continuation-In-Part
(CIP) of prior application No. _____ which is hereby incorporated by
reference therein.

| FEE CALCULATION (LARGE ENTITY) | | | | | FEE |
|--------------------------------|--------|-------|-----------------|-----------|-----------------------|
| | Number | | Number Extra | Rate | Basic Fee \$710.00 |
| Total Claims: | 55 | -20 = | 35 | X \$18.00 | \$630.00 |
| Independent Claims | 7 | - 3 = | 4 | X \$80.00 | \$320.00 |
| TOTAL FILING FEE = | | | | | \$1,660.00 |

☒ Enclosed is a check in the amount of \$1,660.00 for fees.

☒ Please charge any additional fees required by this paper to Deposit Account
No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicants

Dated: 4/25/01

CWK/bt

Correspondence Address:

Baker Botts L.L.P.
2001 Ross Avenue, 6th Floor
Dallas, Texas 75201-2980
(214) 953-6812

020431.0772

09/842,297



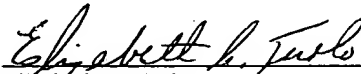
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In re Application of: José A. Sobrado, et al.
Serial No.: 09/842,297
Filed: April 25, 2001
Title: GUIDED BUYING DECISION SUPPORT IN AN
ELECTRONIC MARKETPLACE ENVIRONMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

| |
|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.  Elizabeth A. Turlo <u>8/21/01</u> Date |
|--|

TRANSMITTAL OF SUPPLEMENTAL DECLARATION

A Supplemental Declaration to correct the name of Tareq S. Burghli is being transmitted herewith for filing in the above-identified patent application.

Although Applicants believe no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

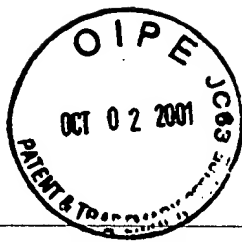
Attorneys for Applicants



Christopher W. Kennerly
Registration No. 40,675

Date: 8/21/01
2001 Ross Avenue
Dallas, TX 75201
(214) 953-6812
Attorney Docket No.: 020431.0772

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The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

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|---|-------|---|-------|
| <input type="checkbox"/> New Appln.; C.P.A.; Con.; CIP.; Div.; Provisional; Converted Provisional (Specification, Claims, Abstract) | _____ | <input type="checkbox"/> ___ Mo. Extension of Time | _____ |
| <input type="checkbox"/> Bibliographic Data Sheet | _____ | <input type="checkbox"/> Notice of Appeal | _____ |
| <input type="checkbox"/> Declaration/power of-Attorney | _____ | <input type="checkbox"/> Appeal Brief | _____ |
| <input type="checkbox"/> Assignment | _____ | <input type="checkbox"/> Issue Fee Transmittal | _____ |
| <input type="checkbox"/> Verified Statement | _____ | <input type="checkbox"/> Check No. _____ Amt.: _____ | |
| <input type="checkbox"/> Formal Drawing | _____ | <input type="checkbox"/> Check No. _____ Amt.: _____ | |
| <input type="checkbox"/> Informal Drawings | _____ | <input checked="" type="checkbox"/> Serial No. <u>09/842,297</u> | |
| <input type="checkbox"/> Amendment/Response | _____ | <input checked="" type="checkbox"/> Other <u>Transmittal of Supplemental Declaration & Suppl. Decl. Targ. 5: Burghall</u> | |

Name of Inventor(s):

Jose A. Sobrado, et al.

Title of Invention:

Guided Buying Decision Support System

Client or Applicant:

i2 Technologies

BB File No.:

020431.0772

Mailed: 8/21/01

Certificate of Mailing
Express Mail ☐

Receipt No.: _____

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Receipt Date & Serial No:



BAKER BOTTS, L.L.P.

AUG 30 2001

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